



## DISCLOSURE OF PERSONAL INFORMATION

<b>Account Number:</b>	to be advised
<b>Account Name:</b>	

**I wish to provide my personal information:**  **NO** (The rest of the form does not apply to you. Please sign below and return the form to DFS.)  
 **YES** (Please sign below and then complete **both** pages of this form.)

*If you choose not to provide your personal details, you acknowledge that any advice you receive is general advice and based only on the consideration of the investment opportunity alone. If you choose to complete the form we are able to provide you with personal advice specific to your circumstances.*

Signature:

Date:

---

### INVESTMENT EXPERIENCE

What is your investment experience?

Extensive       Moderate       Limited       None

### INVESTMENT OBJECTIVES

Please tick ONE of the following boxes:

- Income**      You require an income stream, often to meet your living expenses. You would prefer shares which pay franked dividends.
- Growth**      You do not require a secure income stream from your investments with DFS as you have income from other sources. However, you wish to accumulate wealth through capital growth.
- Both Growth & Income**      This is where a mixture of Growth and Income are more suitable for your needs.
- Short Term Trading**      You wish to trade frequently in order to profit from short term movements in the market price of your investments.
- Mixture of the above**      You wish to establish a portfolio with a mixture of Income, Growth and Short Term Trading.

### INVESTMENT TIME HORIZON

- Short Term Investments      (under 12 months)  
 Medium Term Investments      (1 to 5 years)  
 Long Term Investments      (over 5 years)

*It is important to note that short term investments are more subject to market volatility. They may not realise growth or capital preservation and as such carry a higher risk.* *continued overleaf...*

## RISK PROFILE

There is always the risk of losing some or all of your investment if you are trading or investing. This risk is increased with more active, aggressive and growth-orientated investment strategies. On the other hand, risk can be decreased by diversification. The level of risk you are prepared to accept will reflect your investment objectives.

Please tick ONE of the following boxes:

- Conservative/Low Risk**      You wish to preserve your capital. Although there can be no guarantees, the risk of capital loss can be minimized by diversification and adopting sound long-term strategies.
- Moderately Conservative**      You require a stable income stream and a modest growth of your invested capital. This can be achieved by sound medium to long-term strategies.
- Balanced/Medium Risk**      You require moderate capital growth, a moderate income stream and a moderate level of capital volatility.
- Moderately Aggressive**      You wish to gain a high level of growth from your capital invested and a modest income stream. You expect that the high price volatility from this type of strategy can also result in significant losses.
- Aggressive/High Risk**      You wish to achieve a high level of growth without any requirement for income. You are prepared to accept the high risk of price volatility in search of high returns.

## FINANCIAL POSITION

- Current Gross Annual Income:**
- \$0 - \$50,000
- \$50,001 - \$100,000
- \$100,001 - \$250,000
- \$250,000 +

### Current Investment Portfolio

<b>Investment Portfolio</b>	<b>\$ Amount</b>	
Property	_____	(excluding Principal Residence)
Shares (listed and unlisted)	_____	
Fixed Interest	_____	
Managed Funds	_____	
Cash at Bank	_____	
Other	_____	
<b>Total Assets</b>	_____	

Are any of your investments funded by debt or margin lending?  Yes  No

If you answered Yes, what amount is borrowed?

\$ \_\_\_\_\_

## OTHER INFORMATION

Occupation:

Date of Birth: