



DFS Equities is a registered business name of DataTech Financial Services Pty Ltd ABN: 31 091 233 439 AFSL No 238699

DVP APPLICATION FORM

LEVEL 21, 31 MARKET STREET SYDNEY NSW 2000
 Ph: 02 9268 0250 Fax: 02 9268 0260
 E-Mail: dfs@dfsequities.com.au

Execution & Settlement through:
ETRADE AUSTRALIA SECURITIES LIMITED
 trading as E*TRADE Australia ACN 078 174 973
 AFSL No. 238277, Participant of the ASX Limited Group

Advisor	ADVISER USE ONLY
Account No	ADVISER USE ONLY
Brokerage scale	ADVISER USE ONLY

1. Type of Account (Please one only)

Individual Joint Company (ACN required) Registered Body (ARBN required) Superannuation Fund Trust Minors

2. ACN or ARBN: for Company or Registered Body Account only

ACN: ARBN:

3. Account Name

The account name will be the registered name of your account and also the name in which your shares will be bought and sold. If you intend to operate the account on behalf of a super fund, trust or minors, you must provide the name of the entity or person in the Account Designation field.

Account Name:
 Account Designation: A/C<

4. Account Holder 1 / Company Contact

Please provide the personal and contact details for an individual, trustee, custodian or company director.

Mr Mrs Ms Miss Other Gender: Male Female Date of Birth:
 Family Name: Position Held:
 Given Name/s: Occupation:

5. Account Holder 2 / Company Contact

Mr Mrs Ms Miss Other Gender: Male Female Date of Birth:
 Family Name: Position Held:
 Given Name/s: Occupation:

6. Residential Address or Registered Business Address: PO Boxes cannot be accepted (required)

Please provide a residential address for individual or joint accounts. For company, super and trust accounts, please provide the registered address.

Address:
 Suburb/City: State: Postcode: Country:

7. Postal Address (if different from above)

Address:
 Suburb/City: State: Postcode: Country:

8. Contact details: please provide at least one contact point, and your preferred means of contact

Email: Phone (w):
 Phone (h): Phone (m): Fax:

9. Contract Notes: please your preference (if electronically, contract notes will be sent to the email address detailed in section 9)

I/we would like to receive my/our contract notes by: Electronically (by email) or Printed and posted

10. Custodian / Margin Lender details

Name of Custodian / Margin Lender:
 Account Name:
 Account Number:
 HIN:
 Custodian / Margin Lender PID:

11. Acknowledgements/Declaration

- (1) I/We acknowledge having received, read and understood the Financial Services Guide and Privacy Statement
- (2) I/We enter into this agreement on the terms and conditions as updated from time to time or included within the account application package should it have been dispatched to me/us by mail. By signing this agreement I/we agree to be bound by these terms and conditions.
- (3) I/We authorise Datatech Financial Services Pty Ltd to give effect to my instructions regarding execution and settlement of my/our securities transactions. I will be bound by all such instructions.

Anti-Money Laundering and Sanctions

The Client agrees that E*TRADE Australia may delay, block or refuse to process any transaction without incurring any liability if E*TRADE Australia suspects that:

- (a) the transaction may breach any laws or regulations in Australia or any other country;
- (b) the transaction involves any person (natural, corporate or governmental) that is itself sanctioned or is connected, directly or indirectly, to any person that is sanctioned under economic and trade sanctions imposed by the United States, the European Union or any country; or
- (c) the transaction may directly or indirectly involve the proceeds of, or be applied for the purposes of, unlawful conduct.

The Client must provide all information to E*TRADE Australia which E*TRADE Australia reasonably requires in order to manage money-laundering or terrorism-financing and economic and trade sanctions risk or to comply with any laws or regulations in Australia or any other country.

Unless the Client has disclosed that it is acting in a trustee capacity or on behalf of another party, the Client warrants that it is acting on its own behalf in signing this application form.

The Client declares and undertakes to E*TRADE Australia that the processing of any transaction by E*TRADE Australia in accordance with the Client's instructions will not breach any laws or regulations in Australia or any other country.

12. Signatory Requirements

Signature:		Signature:	
Name:		Name:	
Title (if company):	Date:	Title (if company):	Date:

For joint applicants, please indicate signatory requirements for all written instructions (please tick one): Either applicant to sign All applicants to sign

13. Office Use Only: Dealer Declaration **Execution of Sponsorship Agreement by ETRADE Australia Securities Ltd**

We have complied with the terms of the Trading Services Agreement with ETRADE Australia Securities Ltd and all relevant legislation. I acknowledge that I am authorised to sign this form on behalf of the intermediary.

Signed for and on behalf of ETRADE Australia Securities Limited

I certify to E*TRADE Australia that I have collected and verified the client information in accordance with the Intermediary Identifying Agreement

Signature:	ADVISER USE ONLY	Signature:	OFFICE USE ONLY
Name:	ADVISER USE ONLY	Name:	OFFICE USE ONLY
Date:	ADVISER USE ONLY	Date:	OFFICE USE ONLY